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# Assessment Malpractice Policy

## Policy on assessment malpractice

Malpractice consists of those acts which undermine the integrity and validity of assessment, the certification of qualifications and/or damage the authority of those responsible for conducting the assessment and certification.

Edexcel does not tolerate actions (or attempted actions) of malpractice by:

- learners
- centres

in connection with BTEC qualifications, Edexcel NVQs, Entry Level Adult Literacy and ESOL.

Edexcel may impose penalties and/or sanctions on learners or centres where incidents (or attempted incidents) of malpractice have been proven.

### Of Interest to:

Curriculum Managers  
Exams Officers  
Heads of Department  
Learners  
Programme Leaders  
Teaching staff  
Training providers

## Introduction

As a centre, we must be vigilant regarding assessment malpractice and where malpractice occurs it must be dealt with in an open and fair manner.

The policy on malpractice aims to:

- define malpractice in the context of assessment and certification for BTEC qualifications, Entry Level Adult Literacy and ESOL.
- set out the rights and responsibilities, with regard to malpractice, of the learner, centre and Edexcel.

In the interest of our learners and staff, we need to respond effectively and openly to all requests for an investigation into an incident or a suspected incident of malpractice. Normally, Head of Centre/Deputy Head of Centre, or their nominees are expected to supervise investigations resulting from allegations of malpractice. Heads of Centres/Deputy Head of Centre or their nominees are required to inform learners and staff suspected of malpractice of their responsibilities and rights.

Edexcel reserves the right, in suspected cases of malpractice, to withhold the issuing of results/certificates while an investigation is in progress. Depending on the outcome of the investigation results/certificates may be released or withheld.

## Guidance on assessment malpractice

As a centre we will ask learners to declare that their work is their own, by ensuring our:

- assessors are responsible for checking the validity of the learner's work.

King Arthur's will take positive steps to prevent or reduce the occurrence of learner malpractice. These steps may include:

- using the induction period and the student handbook to inform learners of the centre's policy on malpractice and the penalties for attempted and actual incidents of malpractice
- showing learners the appropriate formats to record cited texts and other materials or information sources including websites. Learners should not be discouraged from conducting research; indeed evidence of relevant research often contributes to the achievement of higher grades. However, the submitted work must show evidence that the learner has interpreted and synthesised appropriate information and has acknowledged any sources used.
- introducing procedures for assessing work in a way that reduces or identifies malpractice, e.g. plagiarism, collusion, cheating, etc. These procedures may include:
  - periods of supervised sessions during which evidence for assignments/tasks/coursework is produced by the learner
  - altering assessment assignments/tasks/tools on a regular basis
  - the assessor assessing work for a single assignment/task in a single session for the complete cohort of learners
  - using oral questions with learners to ascertain their understanding of the concepts, application, etc within their work
  - assessors getting to know their learners' styles and abilities, etc.
- ensuring access controls are installed to prevent learners from accessing and using other people's work when using networked computers.

## **1. Learner malpractice**

Attempting to or actually carrying out any malpractice activity is not permitted by Edexcel or King Arthur's School. The following are examples of malpractice by learners; this list is not exhaustive and other instances of malpractice may be considered:

- plagiarism by copying and passing off, as the learner's own, the whole or part(s) of another person's work, including artwork, images, words, computer generated work (including Internet sources), thoughts, inventions and/or discoveries whether published or not, with or without the originator's permission and without appropriately acknowledging the source
- collusion by working collaboratively with other learners to produce work that is submitted as individual learner work. Learners should not be discouraged from teamwork, as this is an essential key skill for many sectors and subject areas, but the use of minutes, allocating tasks, agreeing outcomes, etc are an essential part of team work and this must be made clear to the learners
- impersonation by pretending to be someone else in order to produce the work for another or arranging for another to take one's place in an assessment/examination/test
- fabrication of results and/or evidence
- failing to abide by the instructions or advice of an assessor, a supervisor, an invigilator, or Edexcel conditions in relation to the assessment/examination/

- test rules, regulations and security
- misuse of assessment/examination material
- introduction and/or use of unauthorised material contra to the requirements of supervised assessment/examination/test conditions, for example: notes, study guides, personal organisers, calculators, dictionaries (when prohibited), personal stereos, mobile phones or other similar electronic devices
- obtaining, receiving, exchanging or passing on information which could be assessment/examination/test related (or the attempt to) by means of talking or written papers/notes during supervised assessment/examination/test conditions
- behaving in such a way as to undermine the integrity of the assessment/examination/test
- the alteration of any results document, including certificates
- cheating to gain an unfair advantage.

## **2. Centre staff malpractice**

The following are examples of malpractice by centre staff. The list is not exhaustive and other instances of malpractice may be considered:

- failing to keep any Edexcel mark schemes secure
- alteration of any Edexcel mark schemes
- alteration of Edexcel's assessment and grading criteria
- assisting learners in the production of work for assessment, where the support has the potential to influence the outcomes of assessment, for example where the assistance involves centre staff producing work for the learner
- producing falsified witness statements, for example for evidence the learner has not generated
- allowing evidence, which is known by the staff member not to be the learner's own, to be included in a learner's assignment/task/portfolio/coursework
- facilitating and allowing impersonation
- misusing the conditions for special learner requirements, for example where learners are permitted support, such as an amanuensis, this is permissible up to the point where the support has the potential to influence the outcome of the assessment
- failing to keep learner computer files secure
- falsifying records/certificates, for example by alteration, substitution, or by fraud
- fraudulent certificate claims, that is claiming for a certificate prior to the learner completing all the requirements of assessment
- failing to keep assessment/examination/test papers secure prior to the assessment/examination/test
- obtaining unauthorised access to assessment/examination/test material prior to an assessment/examination/test

## **3. Investigating alleged malpractice**

The Head of Centre, Deputy Head of Centre or a nominated representative will investigate any alleged malpractice at our centre. Edexcel may require full access to a centre for investigation purposes.

As part of any investigation we will:

- involve the learner and others in the investigation process, ensuring parents are kept informed.
- Make the individual fully aware (preferably in writing) at the earliest opportunity of the nature of the alleged malpractice and of the possible consequences should malpractice be proven.
- give the individual the opportunity to respond (preferably in writing) to the allegations made. Centres must also inform such individuals of the avenues for appealing should a judgement be made against them.

During the investigation period, Edexcel may

- refuse learner registrations/entries
- withhold the release of results/certificate,
- withhold test/examination papers if the security of a test/examination is considered at risk

pending the outcome of the investigation.

If malpractice is discovered by an Edexcel representative (e.g. EV, EE, examiner, moderator, etc) or has been reported directly to Edexcel by a third party, Edexcel will conduct an investigation in a form commensurate with the nature of the malpractice allegation. Such an investigation will require the full support of all personnel linked to the allegation.

Any malpractice or attempted acts of malpractice, which have influenced the assessment outcomes, must be reported to Edexcel.

Any alleged incident of malpractice brought to Edexcel's attention after the issue of certificates will result in a full investigation by Edexcel. Depending on the outcome of the investigation, certificates may be recalled and declared invalid.

Investigations into alleged malpractice against the Head of Centre/Deputy Head of Centre will normally be conducted by the Chair of the Governing Body. The alleged incident must be reported to Edexcel at the earliest opportunity.

#### **4. Penalties and sanctions**

Where malpractice against a member of staff/learner is proven, Edexcel will have to consider whether the integrity of its assessments/examinations/tests might be jeopardised if the member of staff/learner in question were to be involved in future Edexcel assessments/examinations/tests. Edexcel may take actions to protect the integrity of its assessments/examinations/tests in the future.

King Arthur's School will have to consider whether remedial action or removal from the course are appropriate course of action and inform Edexcel of all outcomes at Centre level.

#### **5. Appeals**

Any appeals from the individual concerned should be addressed to the Head of Centre/Deputy Head of Centre of the Chair of the Governing Body as appropriate.

Policy review date: September 2011